



1014.1058

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Paolo DARIO et al.
Serial No. : 10/581,660
Filed : June 3, 2006
For : ENDOSCOPIC SURGERY DEVICE
Group Art Unit : 3734
Examiner : Jennifer Lea Hornberger
Confirmation No. : 3944

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O Box 1450
Alexandria, VA 22313-1450

Attn.: Office of Initial Patent Examination
Customer Service Center

Dear Sir:

Applicant respectfully requests that a Corrected Filing Receipt be issued in the captioned Application. As indicated in the enclosed copy of the Filing Receipt, on page 1, under "Assignment For Published Patent Application", the NAME and ADDRESS of the ASSIGNEE should read - - MICROTECH S.R.L., PISA, ITALY - -, **not** "MICROTECH S.R.L., 56010 VICOPISANO (PISA), ITALY, ITALY". (bold emphasis added). A copy of the Recordation Form Cover Sheet, Form PTO-1595, as filed, correctly showing the Assignee name is attached.

In addition, under "Foreign Applications", the Priority Application should read - - ITALY FI2003 A 000310 12/03/2003 - - rather than "EUROPEAN PATENT OFFICE (EPO) 03028321.2 12/09/2003". A copy of the Letter Re Priority, as filed, accurately identifying the Priority Application is included with this Request.

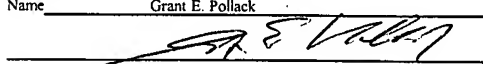
Finally, on page 2 of the Filing Receipt, the "Title" should read - - Endoscopic Surgery Device - - instead of "Endoscopic surgery device for the haemostatic insertion and recovery of a plug at the surgical site". A copy of the Preliminary Amendment, as filed, showing the Title of the Application, as originally amended is attached.


We respectfully request that the foregoing corrections be made of record and that a Corrected Filing Receipt be forwarded to us without delay.

Respectfully submitted,

Dated: December 10, 2009

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope with sufficient postage addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on December 10, 2009
Name Grant E. Pollack

Signature


Grant E. Pollack, Esq.
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Attorney for Applicants



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/581,660	06/03/2006	3731	450	1014.1058	2	12	2

CONFIRMATION NO. 3944

41226
POLLACK, P.C.
THE CHRYSLER BUILDING
132 EAST 43RD STREET, SUITE 760
NEW YORK, NY 10017

FILING RECEIPT



OC000000022441994

Date Mailed: 03/01/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paolo Dario, Livorno, ITALY;
Andrea Pietrabissa, Pisa, ITALY;
Bernardo Magnani, Livorno, ITALY;
Cesare Stefanini, Cascina, ITALY;

RECEIVED MAR 7 2007

POLLACK, P.C.

The Chrysler Building
132 East 43rd Street, Suite 760
New York, NY 10017

Assignment For Published Patent Application

MICROTECH S.R.L. ~~56010 VICOPIANO (PISA), ITALY, ITALY~~ ← **

L.

Power of Attorney: The patent practitioners associated with Customer Number 41226.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IT04/00643 11/23/2004

Foreign Applications

~~EUROPEAN PATENT OFFICE (EPO) 03028321.2 12/09/2003~~

ITALY FI2003 A 000310 12/03/2003 ← **

If Required, Foreign Filing License Granted: 02/09/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/581,660**


Projected Publication Date: 05/24/2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Endoscopic surgery device ~~for the haemostatic insertion and recovery of a plug at the surgical site~~ 

Preliminary Class

606

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

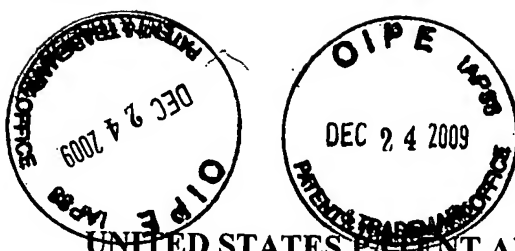
Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED



1014.1058

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Paolo DARIO et al.
Serial No. : Not yet known
Filed : Simultaneously
For : ENDOSCOPIC SURGERY DEVICE FOR THE
HAEMOSTATIC INSERTION AND RECOVERY
OF A PLUG AT THE SURGICAL SITE

LETTER RE PRIORITY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby claim priority of ITALIAN Patent Application No. FI2003 A 000310, filed
DECEMBER 3, 2003, through International Application No. PCT/IT2004/000643, filed November
23, 2004.

Respectfully submitted,

Grant E. Pollack, Esq.
Registration No. 34, 097
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The Chrysler Building
132 East 43rd Street, Suite 760
New York, New York 10017
Telephone: (646) 265-1468
Facsimile: (646) 253-1276
E-mail: gpollack@pollackpc.com

Dated: June 3, 2006

I hereby certify that this correspondence is being
deposited with the United States Postal Service
as Express Mail, Label No. EV592479986US, in
an envelope with sufficient postage addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450

On June 3, 2006

Name Grant E. Pollack

Signature

June 3, 2006

Date of Signature

Attorney for Applicants



RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paolo DARIO, Bernardo MAGNANI, Andrea
PIETRABISSA and Cesare STEFANINI

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: May 22, 2006

2. Name and address of receiving party(ies):

Name: MICROTECH S.r.l.

Address: Via E. Fermi, 6

56010 Vicopisano (Pisa)

ITALY

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 3, 2006

A. Patent Application No(s).:

B. Patent No(s).:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Grant E. Pollack, Esq.

Internal Address: POLLACK, P.C.

Street Address: 132 East 43rd Street, Suite 760

City: New York State: NY Zip: 10017

Our Ref.: 1014.1058

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed - Credit Card Payment Form:
☐ Authorized to be charged to deposit account

8. Deposit account number:

☐ Authorized to charge additional fees to deposit account

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Grant E. Pollack
Name of Person Signing


Signature

06/03/06
Date

Total number of pages including cover sheet, attachments, and document: [4]

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231



1014.1058

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Paolo DARIO et al.
Serial No. : Not yet known
Filed : Simultaneously
For : ENDOSCOPIC SURGERY DEVICE FOR THE
HAEMOSTATIC INSERTION AND RECOVERY
OF A PLUG AT THE SURGICAL SITE

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the captioned Application as follows:

The **Amendments To The Specification** begin on page 2.

The **Amendments To The Abstract** begin on page 8.

The **Amendments To The Claims** begin on page 9.

The **Remarks** begin on page 13.

AMENDMENTS TO THE SPECIFICATION:

Please amend page 1, paragraph 1, to read as follows:

ENDOSCOPIC SURGERY DEVICE ~~FOR THE HAEMOSTATIC~~
~~INSERTION AND RECOVERY OF A PLUG AT THE SURGICAL SITE~~

Please amend page 1, paragraph 2, to read as follows:

[DESCRIPTION]

Please amend page 1, paragraph 3, to read as follows:

- - FIELD OF THE INVENTION - -

[Field of the Invention]

The present invention relates generally to [the field of] surgical instruments [intended for use mainly, but not exclusively, for endoscopic surgery (laparoscopy, thoracoscopy etc.), including hand-assisted laparoscopy. More specifically the invention relates] and, more particularly, to [a] devices for [the insertion and the recovery of a plug at the surgical site which can be used during] surgery performed by endoscopic procedure and the like.